TRANSFERENCE AND COUNTERTRANSFERENCE IN SOMATIC THERAPIES

DAVID WILFRED ABSE, M.D., D.P.M., AND
JOHN A. EWING, M.D., D.P.M.

Since the introduction of the shock therapies, there has been much controversy concerning their mode of action. In 1948, Gordon (11) was able to list 50 shock therapy "theories". The present article will not enter into the controversy but will adduce some evidence which will draw further attention to the psychological implications of the shock therapies. The psychological impact of shock therapy upon the patient has been studied by many authors. Reviews of the literature and new considerations have been presented by Abse (1, 2), Boyer (5), and Fisher, Fisher and Hilkевич (7) among others.

An excellent review which presents both sides of the argument is that by Hill (13). In part of his study he reports the opinions given him concerning shock therapy by 11 Freudian and Jungian analysts. After reviewing the opinions of these workers, Hill states, "Many of my correspondents returned again and again to the idea of what matters is not what is done to the patient but how it is done, and this particularly applies to the treatment of psychotics. The whole question of the countertransference—the hidden unconscious attitudes of the doctor towards his patient, which motivate his behavior towards the patient and his responses to the patient's behavior—this is the urgent preoccupation of all those psychoanalysts and Jungians who are now working on the psychotherapy of the psychoses."

In this study we are presenting material which enables us to consider first the transference and then the countertransference aspects of somatic therapies.

PART I—PSYCHODYNAMIC ASPECTS

Discussion of methodology

Analytic psychotherapy of the neuroses and psychoses is not only therapy, or attempted therapy; it is also a useful research tool. Today,

1 Respectively, Associate Professor and Instructor, Department of Psychiatry, School of Medicine, University of North Carolina, Chapel Hill, North Carolina.
vations obtained as occasion permits during the course of psychotherapy are of an entirely different order. This is perhaps best demonstrated by a concrete example:

A highly intelligent and cultivated woman of 30 had suffered a severe depression accompanied by expressed suicidal wishes, and had been treated by a course of electroshock therapy (EST) with a favorable clinical result. One year later she suffered a relapse and was then brought into prolonged intensive analytically oriented psychotherapy. The psychiatrist who had one year previously treated her with EST reported that not only had she apparently recovered following the treatment, but that she had spoken of considerable gratitude for her relief. Indeed, this was attested by the woman herself during the initial stages of psychotherapy. The treatment, she reported, had been wonderful; and she expatiated upon the theme that it had relieved her of her feelings of ill-being, of morbid thoughts of self-destruction, and it had enabled her to recommence her work. However, later on in psychotherapy she was expressing views upon the theme that the shock therapist had perpetrated an outrage upon her person, that he had punished her without reason, and that he was a cruel heartless man.

This was later on, and she had never been questioned about the shock therapy directly. Since this is a common occurrence with patients in psychotherapy who have previously been exposed to shock treatment, it demands considerable scrutiny. We have first to understand the setting in which, and the time at which, the patient expresses views antagonistic to the shock therapist and the shock treatment, so contrary to her avowed initial attitude. Also, we have to understand that both the earlier and later communications about the shock therapy have a validity each in its own right, and taken together they communicate much more than a mere sum which would equal about nothing.

In this particular case, the antagonistic feelings about shock treatment were ventilated in a setting of talk about her father's punitive attitudes during her childhood. He insisted on her accompanying him every Sunday morning on a walk during which he regularly lectured her, expressing his disappointment with her and disapproval of her reported misbehavior during the week. For her, this regular weekly ambulation had been a repeated painful experience; it was following these reminiscences that she switched to talking about her regular shock treatments. Before she had come to these painful reminiscences and thus to express her hate of her father, she had been complaining that her therapist had little to say to her, let her do all the talking, and was losing interest in her.

Without going further into this setting, it is clear that this talk about her father's peripatetic lectures every Sunday morning took place under the sway of markedly ambivalent transference emotions, and this was followed by her attacking the psychiatrist who had first treated her, and by many elaborations about the shock therapy. Of course, it was easier for her to transfer her wrath to the other fellow, and thus split her father-figures into the good and the bad; that was a help for an immediate solution of a conflict of ambivalence. The point is, however, that the psychiatrist by utilizing EST had invited this position in her mind, and that this position is in our experience regularly achieved and comes into evidence in the setting and at the time of transference phenomena of this kind.

Findings in prolonged psychotherapy

It is found, time and again, with different patients in psychotherapy, that the associations of the patient as they crop up in connection with experiences of EST are saturated with anxiety, especially fears of destruction, and ideas concerned with punishment, expiation and making a fresh start. This constellation of thoughts and feelings and of related phantasies occurs in connection with shock therapy in the setting of transference phenomena which point up a revival of threatening and punitive parental figures. Often enough, these are credited too with good intentions.

To amplify this phenomenon of the association of shock therapy (and everything and everyone connected with it) with phantasies of punishment and expiation in the setting of ambivalent transference emotions would require detailed case reporting so repetitious that the patience of the reader would be too sorely tried. The phantasies of punishment vary con-
siderably in content—purgatory or hell is pictured, castration is adumbrated, or sensations of being helplessly seduced and overwhelmed are conjured. Similarly, in regard to expiation and rebirth, the content is differently clothed from time to time. Sometimes memories of childhood, concerned with a whipping after naughtiness and the subsequent events of finding love restored, are connected with the experiences following shock therapy and the kindness of the physician. The patient may talk about his having found a fresh start after shock therapy, only to follow this with associations derived from a birth phantasy. Sometimes the elucidation of a dream may point up the wish to start again with a clean slate and then connect in the patient’s mind with experiences in former shock therapy. Thus when the shock experiences enter into the spontaneous associations of the patient, they are always in a typical nexus of anxiety feelings, notions and memories concerned with punishment, phantasies of expiation and rebirth; and this typical nexus occurs as transference conditions permit.

This indicates that the patient finds the meaning of shock therapy unconsciously (whatever his more proximate conscious attitude) as a punishment which makes him anxious and at the same time diminishes his sense of guilt and offers him the prospect of forgiveness and a fresh start. It should be added that not only is this revealed in detail in psychotherapy, but observations of the behavior of the patient during the course of shock treatment show too that shock therapy induces anxiety, though it is often the correlative defenses which are more obvious, such as fight or attempted flight.

**Physiological events, consequent psychic reflections, and the total treatment field**

As pointed out in previous papers (1, 2), the meaning of the shock therapy as it later becomes apparent in psychotherapy is hardly surprising in view of the fact that this form of treatment is a means of effecting reversible physiological disruption. Of course, the experience consists of discontinuous and repetitious disruption within a total treatment configuration which includes physicians, nurses, apparatus and the general business of something being done. In other words, the experience of the patient which he carries with him afterwards is compounded of the psychic reflections of this repetitious disruption in a setting of medical care. In a report of a panel discussion by four patients of their reactions to somatic therapy (4), the aversive and anxiety reactions as well as feelings of gratitude are verbalized in a permissive group atmosphere. It is only, however, in lengthy psychotherapy that the unconscious connections of the experience in shock therapy become available for more thorough study.

In psychotherapy, we not only come to understand this essential inner meaning for the patient, but we can also describe its effects. For example again, in the case of the patient mentioned above, the attitude of her father as represented in his weekly compulsory walks aggraved the difficulties this woman experienced in becoming able to relate satisfactorily to men. She suffered from a severe inhibition of spontaneity in the presence of men, for she was frightened of them for reasons altogether outside the realm of her adult conscious understanding before psychotherapy. To isolate an important element from a complicated matter, she had been frightened of her father, and this anxiety engendered a severe ego restriction in her later behavior with men. When the conditions of treatment made it possible for her to discuss this, often enough at the time of discussion she also spoke of the psychiatrist who had previously treated her, and she came to discuss how she had been glad to say she was grateful, and then to make off. She came to recognize the existence of emotions in relation to the shock therapist in the later psychotherapy, which at the time of her actual contact with him made it typically necessary for her to resort to defense in severe inhibition and to present herself simply as a “model patient”. He had, it became evident in psychotherapy, put himself in the position of a castigating father-figure with whom she must comply, and from whom she could expect encouragement provided she did. It became clear that the shock therapy enabled her to re-enact a relationship which encompassed not only punishment but also forgiveness, at a time when she was otherwise lost in an internal psychic drama which provided no such bonus; but the therapy was in itself helpless to enable a working through in transference with eventual psychodynamic insight.
such as was afforded in intensive psychotherapy. She remained fixed in her ways of relating to others and remained basically a frustrated and resentful woman liable to a renewed attack of severe depression.

The different shock therapies represent a variation upon the same theme, but the variations characteristic of each type of shock therapy are of great importance. The psychic reflections of the organic events as observed in psychotherapy show up the differences as well as the similarities. The fact that these physiological events occur without conscious representation, indeed often in the absence of consciousness, shows that conscious perceptual organization is not necessary for these psychic reflections to occur. Memory is a phenomenon which is only very partially covered by an understanding of the mental processes involved in conscious recall. Just as some psychologists have brought memory theory into relation with instinct theory by means of such concepts as Jung’s “racial memories” (14) or Spencer’s “inherited organized experience” (17), so we can connect the psychic reflections in psychotherapy with the physiological events which occurred in the absence of consciousness. Besides, ontogenetically bodily experiences register in the psyche before the evolution of ego-organization; bodily experiences are in fact largely responsible for this evolution, and the “body-ego” is the core of the ego-organization.

Thus it happens that the special features of the physiological events which occur during insulin coma treatment show up in the later reaction of the patient in psychotherapy. During insulin coma treatment, hypoglycemia and “tissue hunger” are created as special features of the traumatic situation. Later the associations of the patient are colored by this repetitious bodily experience. Reference to insulin treatment often occurs when the associations of the patient are constellated around events concerned with breast-feeding in infancy and phantasies related thereto. The insulin coma termination is a corrective experience of loving care, and the “symbolic realization” of this when organically regressed to helpless dependence and overwhelming tension is a therapeutic factor of great importance in the treatment of schizophrenic patients.

Previously, one aspect “threat and punish-
ment” has been emphasized, whereas “loving care” has been only barely alluded to. It so happens that it is just in insulin treatment where this latter becomes less overshadowed. The very conditions of insulin treatment require the acting out of considerable care and attention to the patient who, in his turn, is very ready to cast the nurses into the role of nursing mother, feeding him, as they do, when he is helpless and hungry. It seems that this loving care aspect, so emphatic in insulin coma treatment and occurring at a primitive level between patient and nurse, is a very important operative factor in the success of the treatment. Linford Rees in his careful comparative study of the value of insulin coma, electronarcosis, electroshock and leukotomy in the treatment of schizophrenia (16) shows that insulin coma is the most effective, adequately-tested organic therapy of schizophrenia.

As the patient becomes more responsive following insulin coma therapy, a one-to-one relationship with a nurse becomes more effective. In other words, the development of a need-satisfying though otherwise defective object relationship can be cultivated towards a more rewarding one by a person trained in the principles of psychiatric nursing. Relationship therapy under psychiatric supervision can considerably further the corrective experience involved in insulin treatment “mechanically” conducted. Of course, it is already involved in the “mechanics”, but by making its presence understood by the nurses, and by utilizing it more fully, the efficacy of insulin therapy can be markedly improved. It is probable that the differential response of depressives and schizophrenics, the one category more rapidly to EST and the other more fully to insulin coma treatment, is related to such factors as the ratio of “threat and punishment” to “loving care” implied in the procedures, respectively. Of course, there are so called schizo-affective disorders, and in such cases combination therapy can alter the ratio of these elements involved in the mechanical procedures. In all cases, more understanding of the patient is required to enable more effective relationship therapy by the nurses, and more effective psychotherapy by the physician.

There are many subsidiary therapeutic factors involved in shock therapy, one of which
has been emphasized by Flescher (8). This is the discharge of tension involved, for example, as in the convulsion itself in EST. The periodic discharge of tension, which itself is largely the result of frustration and rage, leaves the patient often more composed and accessible. This would not persist long—the tension would, of course, build up again rapidly—were it not for the mobilization of defenses and stimulation of ego-organization which results from exposure to shock therapy.

PART II--THE ATTITUDES OF SHOCK THERAPISTS

Modern trends of thought regard all physician-patient relationships as worthy of study, but it is in psychiatry that emphasis on the interpersonal relationship has assumed greatest importance. In any dynamic psychotherapeutic relationship there must be awareness of countertransference on the part of the therapist. Feelings and defenses of the therapist which may interfere with the treatment process require recognition and clarification for their control or deletion. Unfortunately, there has been inadequate consideration of this factor in all the physical methods of therapy including the shock therapies and the use of modern "wonder drugs". A fuller understanding of this aspect of the physical therapies may enable them to be used more effectively. In addition we may gain further clues concerning the controversial question of the mode of action of these therapies.

Fenichel (6) states that, in personal experience in analyzing doctors who apply shock treatment, "The (conscious or unconscious) attitude of the doctors toward the treatment was regularly that of 'killing and bringing alive again,' which idea, of course, provoked different emotions in different personalities. It may be that the impression the treatment gives to the doctors corresponds to an impression it gives to the patients. It seems that they, too, experience a kind of death and rebirth."

Wayne (18) has recently drawn attention to the fact that the characteristics of a method of treatment can unconsciously evoke responses in a doctor which may be obscure to him. The use or avoidance of the method itself may be motivated, at least in part, by these same obscure responses. He lists the characteristics of electroconvulsive therapy (EST), pointing out how the unconsciousness, seizure and coma show all the characteristics of an overwhelming assault. Wayne then discusses the unconscious constellations which may inaugurate a decision to use EST or lead to an emotionally toned prejudice against its use. He cites the case of a physician who suffered back pain on the days he had administered EST. Analysis revealed guilt over unconscious hostility toward the sick patients.

We realize the potential pitfalls were we merely to question shock therapists concerning their feelings. Another approach, therefore, is to consider the statements made by psychiatric colleagues in their "off guard" moments. Psychiatrists discussing shock therapies with relatives of patients are often very guarded in their remarks. Even though they are usually very frank about the possible physical effects of this treatment, one feels that they are carefully weighing their words concerning the psychological implications. In marked contrast are the casual, often lighthearted comments of the shock therapist before his professional colleagues. Remarks made at such times will tend more nearly to reflect feelings and attitudes of the shock therapist than might be obtained by any other method short of psychoanalysis. One of us (J. A. E.) has collected these statements over a period of eight years in Britain and the United States. Most of them have been heard on many occasions. Colleagues who have seen the list of comments have confirmed our findings that many affect-laden colloquialisms are regularly used by shock therapists in referring to their therapy. Undoubtedly the following list could be lengthened, but only personally collected remarks are used, and only remarks uttered by experienced shock therapists who would seem to have had time enough to develop fairly consistent attitudes. The feelings of the resident in psychiatry are, we believe, often quite confused when he first becomes involved with shock therapy. The statements listed were made by 19 shock therapists out of a possible total of 25. Numbers 1 through 8 and 12 and 14 were heard (with minor variations) from three or more therapists on independent occasions. Numbers 10, 11 and 13 were heard twice each.

It is important to point out that many shock therapists (including some of those whose re-
marks are cited below) have denied any particular feeling about shock therapy when directly questioned. Even the suggestion that statements such as “Hit him with all we’ve got” are not used without significance is met with strong protests from some therapists. Thus, it seems very probable to us that the insistence of some workers upon exclusively physical explanations represents a defense against unacceptable unconscious feelings.

Statements of shock therapists in USA and Britain

1. “Let’s give him the works.”
2. “Hit him with all we’ve got.”
3. “Why don’t you throw the book at him?”
4. “Knock him out with EST.”
5. “Let’s see if a few shocks will knock him out of it.”
6. “Why don’t you put him on the assembly line?” (This comment has been heard in a hospital where the assembly line technique was indeed used to cope with large numbers of patients on shock therapy. The implied lack of awareness of any interpersonal relationship between therapist and patient is very obvious.)
7. “If he would not get better with one course, give him a double-sized course now.”
8. “The patient was noisy and resistive so I put him on intensive EST three times a day.”
9. Recently one of us was consulted by the husband of a woman alcoholic as he had been advised by a psychiatrist to let her have EST. The psychiatrist had explained the procedure to the husband and had given his opinion that it would prove beneficial to the patient by virtue of its effect as “A mental spanking.”
10. “I’m going to gas him.”
11. “Why don’t you give him the gas?”
12. “I spend my entire mornings looking after the insulin therapy patients.”
13. “I take my insulin therapy patients to the doors of death, and when they are knocking on the doors, I snatch them back.”
14. “She’s too nice a patient for us to give her EST.”

The first 9 of the above statements were made about EST. Clearly, the main attitudes expressed are those of hostility and punishment. In marked contrast are the remarks about insulin therapy. Here we observe that the idea of a threat is overshadowed by the concept of rescue of the patient from destruction. Number 13 above refers to the theme of death and rebirth, with the therapist more emphatically in the role of the “good” figure who saves the patient’s life. Statements such as number 14 are usually spoken in jest, but behind the words used we can detect the therapist’s reaction against the sadistic implication of shock therapy.

Our experience in the observation of CO₂ therapy has been limited, but here again in remarks numbers 10 and 11 we may suspect a hostile, punishing attitude. CO₂ therapy has been in use for much less time than EST, and possibly the use of colloquial terms to represent CO₂ will yet develop. More often we have heard therapists refer to CO₂ therapy in terms of assumed action, e.g., “Let’s give her CO₂ to help her express her hostility.” It is not our intention to discuss the possible modes of action of CO₂. However, we have observed equally violent abreactsions following experimental work with nitrogen inhalations in psychiatric patients. The lack of a full amnesia in association with the gassing or choking “attack” of the therapist might well provoke expression of hostility in the patient irrespective of any physiological effect of the gas used. In observing CO₂ therapy, it has seemed that the least excited and aggressive reactions occur in depressed patients who appeared to “take their punishment lying down.”

While many workers with CO₂ therapy have tended to study only the pharmacological effects of the gas, the psychological meaning of its use was investigated by Hargrove et al. (12). They concluded (in part), “The use of carbon dioxide therapy in our hands added no specific therapeutic effect but did add problems of transference and resistance that retarded or prevented therapy.” These findings were confirmed by Freedman (9) who concluded that the reactions of each patient followed the transference reactions to the therapist administering the treatment. He noted also that in the CO₂ treatment situation there seemed to be intensification of the transference reactions even on relatively brief contact between therapist and patient.

There is another situation in shock therapy which, though frequent, has received little at-
tention. In certain clinics and state hospitals where large numbers of patients are treated, the shock therapist may be a stranger to the patient. While it may be desirable for the psychiatrist to be present at the somatic treatment of his patient, this is not always possible. Here then we have a situation which is worthy of investigation. There is a need for studies to compare and contrast the results, and the transference and countertransference reactions, in various somatic therapies given by the patient’s own therapist on the one hand and by a strange shock therapist on the other. Of course, the patient’s therapist is the responsible decision maker, and he may seem to be the punisher by proxy in some instances; however, it has happened at times that the transference reactions of the patient to the two therapists have differed.

Nurses and attendants are not only auxiliaries of the shock therapist in the actual shock treatment session, but are more intimately and continuously in contact with the patient. Scrutiny of the reactions of nurses and attendants to their participation in somatic therapies should also be rewarding, but spontaneous “off-guard” expressions have not been sufficiently available to us. It is, of course, the frequent experience of a physician in a state hospital to be approached by a nurse who suggests a “few shocks” for a patient because he has been fighting, resistive, uncooperative or even merely obscure in his talk. In one hospital which employed a large number of relatively untrained personnel, it was clear that such members of the staff used EST as a threat. Even non-psychotic voluntary patients reported threats of “You will go on the shock list” for such lack of cooperation as disinclination to eat a full meal! Certainly such openly threatening remarks are usually confined to the least understanding and most junior attendants who are enjoying a newfound sense of power. This is sometimes connected with an unconscious participation in the “omnipotence” of the shock therapist.

Discussion

The most interesting feature about the remarks listed is that all those which display hostile or punishing attitudes refer to the briefer forms of therapy. These, of course, are dramatic therapies and involve much action. They also bring the therapist into a much shorter contact with the patient.

In marked contrast are the prolonged care and watching over the patient during many hours of insulin therapy.

Electroshock and insulin therapy actually engender different attitudes in the therapist by virtue of the mechanisms and techniques involved. In the case of the latter there is prolonged display of the “tender loving care” about which Abse has written (2).

In talking about the hostile, attacking nature of EST with shock therapists, we have noticed that some assume they are being accused of sadistic intentions. Such is far from the case. Instead, we wish to stress again that the very nature of the treatment itself can produce the attitudes described.

The success of EST principally in depressions is thus associated with hostile or punishing attitudes on the part of the therapist which correspond with the impressions received by the patients. It seems probable therefore that even the most organically minded shock therapist unconsciously allies himself with the punitive super-ego of the depressed patient.

In insulin therapy, we can be sure that the schizophrenic’s well-known sensitivity to the attitudes of others makes him aware of the element of tender loving care to which the treatment lends itself.

A statement uttered by Freud in 1904 (10) is worth repeating here: “All physicians, therefore, yourselves included, are continually practising psychotherapy, even when you have no intention of doing so and are not aware of it; it is disadvantageous, however, to leave entirely in the hands of the patient what the mental factor in your treatment of him shall be. In this way it is uncontrollable; it can neither be measured nor intensified. Is it not then a justifiable endeavor on the part of a physician to seek to control this factor, to use it with a purpose, and to direct and strengthen it?”

This is a suitable place to suggest our need also to examine the psychological implications of the latest type of somatic therapy—the use of the drugs called tranquilizing agents. Undoubtedly these drugs have turned attention and interest toward the chronic psychiatric patient. Many thousands of “back ward” patients in state hospitals can now feel that
"something is being done." In many instances these patients are being observed as never before. The enthusiastic drug therapist looks for signs of improvement in his patients and, in so doing, offers an interpersonal relationship that has often been lacking. Nurses and physicians react in a more positive and loving way towards the "tranquilized" patient. These and many other such factors must be kept in mind because we cannot investigate such therapeutic tools from a purely pharmacological viewpoint.

The whole question of countertransference in medicine generally has been considered by Lewin (15). The medical student’s first "patient" is a cadaver. "His relationship to the cadaver is an outlet for many sublimated, active, libidinal drives, as well as those of mastery and power. Intended to be a prototype of all future patients in certain rational respects, the cadaver easily comes to be the student’s ideal of a patient in all respects." Lewin goes on to point out the unconscious knowledge of doctors that sick people are aggressive, either to the environment or to themselves. Counter-aggression on the part of the doctor has to be sublimated. For example, the doctor will use drugs which would be poisonous in non-therapeutic doses; he may use morphine for a severe pain and thus reduce his patient to the state of a cadaver. Occasionally in years gone by, we have seen or heard of whole wards of chronic psychiatric patients being kept relatively orderly and subdued by the use of the older sedatives. Such occurrences can be understood in terms of Lewin’s interpretations. It seems clear that such excessive medication is the end result of countertransference feelings in the nurses and physicians.

Lewin’s original paper deserves study by all psychiatrists who are using the latest drugs which have, as yet, none of the unfortunate associations of the older sedatives such as suicides and addictions. While we investigate these drugs from pharmacological, physiological and psychological points of view, we would do well also to elucidate countertransference meanings.

Demands from relatives of patients are well known to psychiatrists in relation to shock therapies as well as to the new drugs. A study of the unconscious attitudes of relatives of shocked patients might well be revealing. Meanwhile, many of us will agree with Arieti (3) when he says, "The drastic nature of shock treatment often acts as a catalyst on the emotional attitude of the relatives toward the patient."

**SUMMARY**

The mode of action of the somatic therapies can be investigated from the psychological viewpoint as well as approached through physiological studies. Psychological studies seem to be most useful when unconscious transference and countertransference reactions in the physician-patient relationship are scrutinized.

Prolonged intensive psychotherapy with patients who have had shock therapy shows that unconscious defensive reactions were aroused *vis-a-vis* the shock therapist and his assistants at the time of treatment. It is upon the removal of such defenses as well as support the patient feels in the total treatment configuration that the efficacy of shock therapy largely depends. It is important to realize that there are crucial psychodynamic events involved in the organic therapy of a functional psychosis; these need further elucidation through research in the psychotherapeutic process. This conclusion reached through study of patients previously treated by shock methods may well also apply to those treated by drugs.

Concerning the countertransference aspects, it is concluded that the briefer therapies lend themselves to the development of hostile, punitive attitudes, whereas a therapy such as insulin therapy engenders a more loving and caring attitude on the part of the therapist. These attitudes are displayed in the casual "off-guard" remarks made by shock therapists, some examples of which are listed. It is emphasized that there is as great a need for awareness of countertransference in the physical therapies as in psychotherapy. This awareness should lead to fuller understanding of the psychological implications of these therapies and to their more effective use.

**BIBLIOGRAPHY**